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OT JAN	PE 25 2005 g IN THE UNITED STATES P.	ATE	THW ENT AND TRADEMARK OFFICE		
In ro	polication of:	2	Group Art Unit: 2171		
штел	• •	§	Group Art Omt. 2171		
,	Robert E. Cypher and	§			
	Ashok Singhal	§	Examiner: Unknown		
		§			
Serial 1	No.: 10/601,402	§	Atty Dkt.: 5181-99401		
		§	I hereby certify that this correspondence is being deposited with the		
Filed:	June 23, 2003	§	U.S. Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-		
	· · · · · · · · · · · · · · · · · · ·	§	1450, on the date indicated below:		
For:	Multiprocessing System Employing	§	D. Mari IZ'a'		
1 01.	Address Switches to Control Mixed	-	B. Noël Kivlin Name of Registered Representative		
		§			
	Broadcast Snooping and Directory	§	Date Signature		
	Based Coherency Protocols	§	Date Signature		
	Transparent to Active Devices	§			
			4		
INFORMATION DISCLOSURE STATEMENT					
P.O. B	issioner for Patents ox 1450 adria, VA 22313-1450				

Sir: Applicant requests consideration of the references listed on the attached Form PTO-٠,٠ 1449 and/or the additional information identified below in paragraph 3. \boxtimes Pursuant to CFR §1.98(a)(2)(i), copies of the cited U.S. patents and/or U.S. patent application publications are not enclosed. A copy of each non-U.S. patent and/or non-U.S. patent application publication \boxtimes reference listed on the Form PTO-1449 is enclosed. The non-U.S. patent and/or non-U.S. patent application publication references listed on the Form PTO-1449 were previously cited in application serial no. to which the captioned application is seeking priority under 35 U.S.C. §120; therefore, a copy of each reference is not enclosed. 1. This Information Disclosure Statement is submitted: a. within 3 months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within 3 months of the date of entry of the national stage as set forth in § 1.491 in an International application; before the mailing date of a first Office Action on the merits; or

continued examination under § 1.114.

before the mailing of a first Office Action after the filing of a request for

	b.	after the events of above paragraph 1a and prior to the mailing date of a final Office Action or Notice of Allowance, and thus: the certification of paragraph 2 below is provided, or a fee of \$180.00 is enclosed.
	c.	after the mailing date of a final Office Action or a Notice of Allowance and prior to payment of the issue fee, and thus: the certification of paragraph 2 below is provided and a fee of \$180.00 is enclosed.
2.	It is he	reby certified:
		that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
		that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in § 1.56 (c) more than three months prior to the filing of the Statement.
3.		Consideration of the following additional information (including any co-pending or abandoned U.S. applications, prior uses and/or sales, etc.) is requested:
		U.S. Patent Application serial no. 10/136,619 filed May 1, 2002.
4.	For ea	ch non-English language reference listed on the attached Form PTO-1449:
		reference is made to an English language translation submitted herewith, and/or
		reference is made to a foreign patent office search report (in the English language) submitted herewith, and/or
		reference is made to an English language translation of a foreign patent office search report submitted herewith, and/or
		reference is made to the concise explanation contained in the specification of the present application at page(s), and/or
		reference is made to the concise explanation set forth below:
5.		Applicant also offers the following comments for the Examiner's consideration:
6.		Also enclosed is a copy of a foreign search report citing these references.
7.		The listed documents were brought to the attention of the Applicant(s) after payment of the issue fee in the captioned case. The documents were cited in a

communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. Applicant(s) request this Information Disclosure Statement and attached Form PTO-1449 be placed in the file of the captioned application.

8. Applicant(s) requests that the Information Disclosure Statement and attached Form PTO-1449 and references, which are being filed before the grant of the patent and pursuant to 37 C.F.R. § 1.97(i), be placed in the file of the captioned application.

If any required fees are missing, the Commissioner is authorized to charge said fees to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. Deposit Account No. 50-1505/5181-99401.

Respectfully submitted,

B. Noël Kivlin

Reg. No. 33,929

Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C.

P.O. Box 398

Austin, TX 78767-0398

(512) 853-8800

Date: 1-21-05

Form PTO-1449 (modified). 2 5 100

List of Patents and Publications
For Applicant's Information

Disclosure Statement (Use several sheets if necessary) A∄ГҮ. DKT. NO. 5181-99401

SERIAL NO. 10/601,402

APPLICANT: Cypher, et al

GROUP: 2171

FILING DATE: June 23, 2003

ι	J.S. PATE	NT	DOC	UMEN	NTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE APPROPRIAT
	B1	6,209,064	3/27/01	Weber			
	B2	6,088,768	7/11/00	Baldus, et al			
	B3	5,802,582	9/1/98	Ekanadham, et al			
	B4	5,761,721	6/2/98	Baldus, et al			
	<u> </u>	OTHER ART (I	ncluding Author	, Title, Date, Pertinent P	ages, Etc.)		<u> </u>
B5 "Specifying and Verifying a Broadcast and a Multicast Snooping Cache Coherence Protocol", Sorin, et al,							

B5	"Specifying and Verifying a Broadcast and a Multicast Snooping Cache Coherence Protocol", Sorin, et al, <u>IEEE Transactions on Parallel and Distributed Systems</u> , Vol. 13, No. 6, June 2002, http://www.cs.wisc.edu/multifacet/papers/tpds02_lamport.pdf
В6	"Multicast Snooping: A New Coherence Method Using a Multicast Address Network", Bilir, et al, The 26 th International Symposium on Computer Architecture, IEEE, Atlanta, GA, May 2-4, 1999, http://csdl.computer.org/comp/proceedings/isca/1999/0170/00/01700294abs.htm
В7	"Architecture and Design of AlphaServer GS320", Gharachorloo, et al, <u>ACM Sigplan Notices</u> , Volume 35, Issue 11, November 2000, http://portal.acm.org/citation.cfm?id=356991&dl=ACM&coll=portal
B8	"View Caching: Efficient Software Shared Memory for Dynamic Computations", Karamcheti, et al, 11 th International Parallel Processing Symposium, Geneva, Switzerland, April 1-5, 1997, http://ipdps.eece.unm.edu/1997/s13/318.pdf
В9	"Cache-Coherent Distributed Shared Memory: Perspectives on Its Development and Future Challenges", Hennessy, et al, Proceedings of the IEEE, Vol. 87, Issue 3, March 1999, ISSN 0018-9219, http://cva.stanford.edu/cs99s/papers/hennessy-cc.pdf
B10	"Survey on Cache Coherence in Shared & Distributed Memory Multiprocessors", Garg, et al, Online, http://www.cse.psu.edu/~cg530/proj03/cache_coherence.pdf
B11	"A Survey of Cache Coherence Mechanisms in Shared Memory Multiprocessors", Lawrence, Department of Computer Science, University of Manitoba, Manitoba, Canada, May 14, 1998, http://www.cs.uiowa.edu/~rlawrenc/research/Papers/cc.pdf
B12	"Bandwidth Adaptive Snooping", Martin, et al. 8 th Annual International Symposium on High-Performance Computer Architecture (HPCA-8), Cambridge, MA, February 2-6, 2002.

EXAMINER:

B13

November 13-15, 2000.

DATE CONSIDERED:

"Timestamp Snooping: An Approach for Extending SMPs", Martin, et al., 9th International Conference on Architectural Support for Programming Languages and Operating Systems (ASPLOS-IX), Cambridge, MA,

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.